Info day: Third Health Programme 2014-2020

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European Commission
Consumers, Health and Food Executive Agency

Den Haag, 3 July 2014
EAHC → Chafea: Who we are

- The Chafea is one of six executive agencies set up by the European Commission to execute complex Community programmes and enable the Commission to focus on policy making.

- The Chafea was formerly EAHC (Executive Agency for Health and Consumers) and before the Public Health Executive (PHEA). In 2008, the Agency's name was changed to EAHC, the mandate was prolonged and expanded to include actions in consumer protection and training for safer food.
CHAFEA: Facts and figures

Based in Luxembourg
Staff: ~50 (for the 3 programmes)

• Manages nearly 500 public health actions: projects, operating grants, conferences, joint actions, international agreements and service contracts under the Health Programme 2008-2013

• Administers relationships with diverse types of beneficiaries: non-governmental organisations, public sector bodies, public administrations, universities, higher education establishments, commercial firms from all EU member states, with different capacities, experience and working cultures.
Third EU Health Programme 2014-2020
Scope of the programme

- Promoting health
- Encouraging innovation in health
- Complement, support and add value to the policies of MS to improve the health of EU citizens and reduce health inequalities
- Increasing the sustainability of health systems
- Protecting citizens from serious cross-border health threats
Objectives of the programme

1) Promote health, prevent disease and foster supportive environments for healthy lifestyles

2) Protect citizens from serious cross-border health threats

3) Contribute to innovative, efficient and sustainable health systems

4) Facilitate access to better and safer healthcare for Union citizens
Implementing the Health Programme (2014-2020)
3rd Health Programme – thematic priorities

1. promote health, prevent diseases, and foster supportive environments for healthy lifestyles taking into account the ‘health in all policies’ principle
2. protect Union citizens from serious cross-border health threats
3. contribute to innovative, efficient and sustainable health systems
4. facilitate access to better and safer healthcare for Union citizens
Call 2014: Projects

All projects should:

- provide high added value at EU level;
- involve at least three partners (separate legal entities) from different countries – NEW !!;
- be innovative, and
- normally last no longer than three years.

Co-funding: 60% - up to 80% - exceptional utility
Topics open for submissions for project proposals
Call for PROJECTS – thematic priority 1

TITLE
Making use of the potential of innovation for the prevention and management of major chronic diseases (diabetes, cardiovascular diseases...)

OBJECTIVE
The objective of the projects is to put more emphasis on new approaches to prevention of major chronic diseases, including linking prevention to healthcare interventions, with an emphasis on groups most at risk.

AMOUNT: € 2 500 000
Call for PROJECTS – thematic priority 2

TITLE
Promoting early diagnosis and screening of preventable chronic diseases

OBJECTIVE
The projects seek to explore the potential of early diagnosis in view of the control and more efficient treatment of chronic diseases. Technical developments, innovative approaches and progress in medicine lead to improved possibilities to identify the onset and to follow the progression of diseases

AMOUNT: € 1,500,000
Call for PROJECTS – thematic priority 3

TITLE
Developing innovative approaches to promote the professional reintegration of people with chronic diseases and improving their employability

OBJECTIVE
The objective of the project is to identify innovative strategies to adapt the workplace to the needs of people with chronic diseases and to improve their integration or reintegration into the workplace.

AMOUNT: € 1 000 000
Call for PROJECTS – thematic priority 4

TITLE
Support in areas related to adherence, frailty, integrated care and multi-chronic conditions

OBJECTIVE
This action seeks to support projects encouraging the use of innovative, coordinated and comprehensive community based prevention. Activities to be addressed will include: (a) supporting the development and implementation of early diagnosis and screening programmes for frailty risk factors; (b) development of programmes to improve the management of multi-morbid patients.

AMOUNT: € 3 000 000
Call for PROJECTS – thematic priority 5

TITLE
Financial support for statistical data in the area of medicinal product pricing in Member States.

OBJECTIVE
The overall goal of this project is to achieve a better coordination at the EU level in order to facilitate the control by the Member States of public budgets for medicinal products whilst avoiding/mitigating possible negative impacts on patient access to medicinal care.

AMOUNT: € 300 000
Call for PROJECTS – thematic priority 6

TITLE
Towards a sustainable health monitoring and reporting system

OBJECTIVE
The project is about data and health information and supports monitoring, research and policy making in all areas of EU health policy. It should create a network of relevant activities that have been run under the past health and research framework programmes, overcoming the fragmentation of projects on health information and data. The aim is to prepare the transition towards a sustainable and integrated EU health information system for both public health and research purposes.

AMOUNT: € 3 500 000
Call for PROJECTS – thematic priority 7

TITLE
Healthcare associated infections - Prevention and control in nursing homes and long-term facilities.

OBJECTIVE
The objective of this project is to enhance infection prevention and control in nursing homes and long-term care facilities in the EU, with the aim to prevent and contain the spread of antibiotic resistances in the long term.

AMOUNT: € 500 000
Calls for proposals for projects - 2014

• Calls open: 6 June 2014

• Calls close: 25 Sept 2014

• NEW: Electronic submission system

• 12.3 million Euros available for projects
Project co-funding

- 60% of the total eligible cost
- 80% - if exceptional utility

There is not obligation for each applicant to contribute equally to the project's budget

The minimum required percentage of own contribution applies at the project level
Actions co-financed with Member States authorities – Joint Actions

- Joint Actions have a clear EU added value
- Co-funding 60% / 80%
- Country eligibility: MS / EEA
- **NEW:** direct grant to named beneficiaries
- MS: nominate participants prior to invitation to prepare proposal
- Can nominate:
  - Competent authorities
  - Public sector bodies / NGO – via a transparent procedure
- Letter has been sent to PermRep: deadline 16/9/2014
Letter to the Permanent Representatives

EUROPEAN COMMISSION
HEALTH AND CONSUMERS DIRECTORATE-GENERAL
Public health
Director

Luxembourg,
sanco.ddg1.c.11A/ol(2014)565835

NOTE FOR THE ATTENTION OF PERMANENT REPRESENTATION IN THE EU

Your Excellency,

Subject: Health Programme in 2014 – Implementation of actions co-financed with Member State health authorities (commonly referred to as ‘joint actions’)

I am writing to you regarding the joint actions planned for 2014 under the third EU Health Programme 2014-2020. The adoption of the work plan is envisaged by end of May 2014. Pending adoption, it would foresee almost €18.6 million for the following actions co-financed with Member State authorities:
Templates

To be completed and sent to: CHAFEA-HP-JA@ec.europa.eu

and hardcopies (originals) to: Consumer, Health and Food Executive Agency (Chafea), Health Unit, For the attention of Ms Maria Alonso, DRB A3/022, L-2920 Luxembourg

via

the Permanent Representatives
JOINT ACTION – thematic priority 1

TITLE
Facilitating the sharing of good practices between the EU Member States on national policies related to unbalanced dietary habits and physical inactivity

OBJECTIVE
The objective of this action is to take forward the work on common priorities identified in the EU strategy on nutrition, overweight, and obesity-related health issues between national bodies mandated in this field. It should lead to increased attention at national level on the necessity to develop action to counter obesity, in particular childhood obesity.

AMOUNT: € 1 200 000
JOINT ACTION – thematic priority 2

TITLE
Improvement of HIV and co-infection prevention and treatment in priority regions and priority groups in the European Union

OBJECTIVE
The activities should particularly address the growing HIV/AIDS epidemic among drug users, their sexual partners and offspring in East and Southern European countries. This action will build on best practice models of several EU networks. Collaboration between social services, health services and security sectors will be facilitated, and the capacity of professionals in these sectors to use cost effective prevention methods will be supported.

AMOUNT: € 3 000 000
JOINT ACTION – thematic priority 3

TITLE
Promoting the implementation in Member States of coordinated actions to improve the situation of people with dementia and their carers

OBJECTIVE
The purpose of this action is to build on the outcomes of the ALCOVE Joint Action, to further develop knowledge and recommendations and to tackle important aspects related to dementia which have not been sufficiently addressed so far.

AMOUNT: € 1 500 000
JOINT ACTION – thematic priority 4

TITLE
Efficient response to highly dangerous and emerging pathogens at EU level - Phase II

OBJECTIVE
The objective of this action is to ensure an efficient response to serious cross-border events caused by new and dangerous pathogens through reinforcing the existing EU network of Risk Group 3 and Risk Group 4 laboratories. This action will enable an efficient and coherent EU level response to potentially devastating cross-border events and it will also support Member States in implementing the International Health Regulations.

AMOUNT: € 3 500 000
JOINT ACTION – thematic priority 5

TITLE
Technical and scientific co-operation allowing improved coordination and resource sharing between Member States

OBJECTIVE
This action is about the technical and scientific co-operation allowing improved coordination and resource sharing between Member States following the adoption by the legislators of new Regulations on medical devices and in vitro diagnostic medical devices.

AMOUNT: € 203 000
JOINT ACTION – thematic priority 6

TITLE
eHealth support for the eHealth Network by national competent authorities

OBJECTIVE
The action will support the eHealth Network by producing the required policy documentation and making the necessary arrangements for technical support to the work programme and decisions of the Network. The action will also contribute to the sharing of good practices between Member States on how eHealth tools are used in health promotion and disease management.

AMOUNT: € 2 400 000
JOINT ACTION – thematic priority 7

TITLE
Support to the implementation of Council Recommendation and Commission Communication on Rare Diseases, in particular to an EU wide rare diseases information database

OBJECTIVE
Developments in science and policy require continuous improvements. Therefore the aim of this action is to continue implementation of priorities identified in the Commission Communication COM(2008) 679 on Rare Diseases: Europe’s challenges and in the Council Recommendation (2009/C 151/02) on an Action in the field of rare diseases.

AMOUNT: € 4 290 000
JOINT ACTION – thematic priority 8

TITLE
Strengthening the Member States’ capacity of monitoring and control in the field of blood transfusion and tissue and cell transplantation

OBJECTIVE
This action will promote further cooperation between Member States competent authorities in the area of blood transfusion and tissue and cell transplantation. The action should build on the outcome of previous EU-funded projects and should provide support in various aspects like managing national vigilance systems, traceability and implementation of the Single European Code for tissues and cells, and training of inspectors.

AMOUNT: € 2 500 000
Call 2014: Operating Grants

What is an operating grant?

- Co-finance core operating costs for non-governmental bodies
- over a period that is equivalent to its one accounting year.
- 60 percent of expenditures can be covered (in cases of exceptional utility, the EU contribution can be 80%).
Who can apply for operating grant?

- Open for applications by single bodies (not consortia) - legally established in a country participating in the 3HP;

- Type of organization - non-governmental bodies or networks hosted by a non-governmental, non-profit body.

- Important – applicants have to be:
  - Non-governmental, non-profit-making and independent of industry, commercial and business or other conflicting interests;
  - Working in the public health area, play an effective role in civil dialogue processes at Union level and pursue at least one of the specific objectives of the Programme;
  - Active at Union level and in at least half of the Member States, and have a balanced geographical coverage of the Union.
New

Framework partnership agreement (FPA)
- **2015 – 2017**
- **Does not guarantee funding**

Specific grant agreement (SGA)
- **Only those who have a FPA will be invited to send a proposal for a SGA – except in 2014!**
- **For 1 accounting year**
- **Like "normal operating grant"**
Call for Proposals 2014

Proposal
Framework Partnership Agreement (FPA)

Positive Evaluation

3 year duration (2015-2017)

2015
2016
2017

Specific Operating Grant Agreement (SGA) 2015

Specific Operating Grant Agreement (SGA) 2016

Specific Operating Grant Agreement (SGA) 2017

Proposals
Specific Operating Grant Agreement (SGA)

Positive Evaluation

Positive Evaluation

Positive Evaluation
Call for Framework Partnership Agreements and for Specific OPERATING GRANTS

OG: for non-governmental bodies working at the EU level in any priority covered by the third Health Programme.

Priority topics for 2014:
- Chronic diseases
- Cancer
- HIV/AIDS
- Rare diseases – in general
- Smoking prevention.

AMOUNT: € 4 650 000
NEW in 2014: on-line submission

- On-line submission system used for H2020 and other programmes
- No paper / e-mail submission!
- Information will be on Chafea, SANCO web and the Participant Portal

- Evaluation & grant agreement: on-line
Important steps

• Get an ECAS account
• Register in the beneficiary registry
  • Ensure that your potential partners are registered as well
• Get familiar with the Participant Portal
• Search for a call
• Create a submission
  • Part A
  • Part B
  • Annex
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RESEARCH & INNOVATION
Participant Portal

Beneficiary Register

The Commission has an online register of the beneficiaries participating in the EU research and innovation or education, audiovisual and culture programmes. This allows the handling of beneficiaries' official data and avoids multiple requests for the same information.

If you want to participate in a project proposal, your organisation needs to be registered and have a 9-digit Participant Identification Code (EPR) that is the unique identifier of your organisation and will be used as a reference by the Commission in any interactions.

Is your organisation already registered? Search PIR.

As you have to register your organisation only once, please see first if it has already been registered.

Register your organisation

Please note that you need to have a valid DGAE account to start registering your organisation or update its data. Login in the Portal or create your account, if you are a new user.

Please keep the legal data of your organisation at hand. You may check the information that will be necessary for the registration in the user manual. To start registration, click on the button below.

Click to organisation Search page and check if your organisation and/or your partners' organisations are already registered.

Register organisation

You can start the registration process at any time; the data entered can be saved as a draft and you may continue your registration at a later time. Save the already entered data by clicking on the Save draft button at the bottom of the registration tool before you quit it.

After providing all the necessary details, click on the Confirm button to submit your registration. You will receive a PIR number that should be used in any interactions with the commision in the future.

If you did not finish the registration, you can continue it later on My Organisations of the My Area section.

Incomplete drafts are automatically deleted after one year.

You can modify your organisation data also on the My Organisations page of the My Area section.

Once your data has been validated by the Validation Service team, only the legal entity's authorised representative (Legal) for the partners with an "Account Administration" role for the organisation will be able to request the modification of the data and provide the corresponding supporting documents on the My Organisations page of the Participant Portal.
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News & Events

Calls for Proposals under the 3rd EU Health Programme are published

06 June 2014

2 Calls for proposals ‘Health-2014’ within the framework of the 3rd Programme of the Union’s action in the field of health (2014-2020) have been published on 06/06/2014 in the Official Journal of the European Union.

This call for applications consists of the following parts:

- A call for proposals for project grants,
- A call for proposals for operating grants

Deadlines for online submissions of the proposals are 25 September 2014.

All the information, including the work programme for 2014 for implementation of the third Health Programme, specifying the topics for the calls and the selection, award and other criteria are available via the EU Research & Innovation Participant Portal.

New in 2014 is the electronic submission of proposals via the Electronic Exchange System of the EC Participant Portal.

CALLS FOR PROPOSALS UNDER THE HEALTH PROGRAMME

Start at the Participant Portal
Calls for tender

• Published on the Chafea web- and in TED

• If interested: register to receive information when published

CHAFEA-HP-TENDER@ec.europa.eu
Open calls for tenders in 2014 - I

- Disseminating good practice on mental health through the European Compass for Action on Mental Health and Well-being
- Study on cost-benefit of reference laboratories for human pathogens
- Assessment of implementation of Patients’ Rights directive: Study on mapping of patients’ rights in all Member States
- Support for the definition of core competences of healthcare assistants
Open calls for tenders in 2014 - II

Implementation of the Cross-border Healthcare Directive:

• Technical assistance: Development of a manual and toolbox for the assessment of European Reference Networks.

• Identification and definition of the typology and elements of the healthcare services to be provided by the European Reference Networks.

• Framework contract: selection of the independent assessment/evaluation body(ies) in charge of the assessment of the applications of Network and membership proposals.
Third Health Programme 2014-2020 - preparing a project proposal
# Proposals received 2008-2013

<table>
<thead>
<tr>
<th>Instruments</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
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<td>Operating Grants</td>
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<td>25</td>
<td>35</td>
<td>46</td>
<td>45</td>
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<td>Joint Actions</td>
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<td>4</td>
<td>10</td>
<td>5</td>
<td>5</td>
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<td>31</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>225</strong></td>
<td><strong>258</strong></td>
<td><strong>177</strong></td>
<td><strong>113</strong></td>
<td><strong>180</strong></td>
<td><strong>164</strong></td>
<td><strong>1117</strong></td>
</tr>
</tbody>
</table>
Country participation during 2HP

Total participation 2HP per country
Dutch participation in 2HP – organisations

Organisation type

- Academic organisation (30%)
- Commercial organisation (5%)
- Governmental organisation (15%)
- Non profit organisation excluding the above (5%)
- Non-governmental organisation (46%)

Consumers, Health And Food Executive Agency
Dutch participation in 2HP –type pof actions co-funded
Criteria to be evaluated

- Eligibility criteria
- Exclusion criteria
- Selection criteria
- Award criteria
Eligibility criteria

- Applicants must be legally established.
- Only applicants from the 28 EU Member States plus Norway and Iceland can apply.
- A project proposal must be submitted by at least 3 different legal entities from 3 different eligible countries.
- The only eligible activities are those listed in section 2.1 "Grants for projects" in the work programme 2014.
- The co-funding is meant for a future project. Running projects cannot be supported.
Exclusion criteria

Exclusion from participation:
- being bankrupt,
- convicted of an offence concerning professional conduct,
- guilty of grave professional misconduct
- not in compliance with their obligations relating to the payment of taxes

Exclusion from granting procedure:
- conflict of interest
- guilty of misrepresenting the information required by the Agency
Selection criteria

Financial viability
- Not if < € 100 000 EU co-funding or if public
- If > € 750 000 -> attach audit report
- Self-check must be performed

If the self-check result is "weak" do not be discouraged from applying!

Operational capacity
- Self-declaration
- Provide information on capacity
## Award criteria-I

<table>
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<th>Maximum points</th>
<th>Threshold</th>
<th>Threshold in % of max. points</th>
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<tbody>
<tr>
<td>1 – policy relevance</td>
<td>10</td>
<td>8</td>
<td>80%</td>
</tr>
<tr>
<td>2 – technical quality</td>
<td>10</td>
<td>6</td>
<td>60%</td>
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<tr>
<td>3 – management quality</td>
<td>10</td>
<td>6</td>
<td>60%</td>
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<tr>
<td>4 – budget adequacy</td>
<td>10</td>
<td>6</td>
<td>60%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>40</strong></td>
<td><strong>26</strong></td>
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Award criterion 1 - Policy and contextual relevance

Sub-criteria:

• Relevance of the contribution to meeting the objectives and priorities defined in the annual work plan of the 3rd Health Programme, under which the call for proposals is published,
• Added value at EU level in the field of public health,
• Pertinence of the geographical coverage of the proposals is high,
• Consideration of the social, cultural and political context.
Award criterion 2 - Technical quality

Sub-criteria:

- Quality of the evidence base,
- Quality of the content,
- Innovative nature, technical complementarities and avoidance of duplication of other existing actions at EU level,
- Quality of the evaluation strategy,
- Quality of the dissemination strategy and plan.
Award criterion 3 - Management quality

Sub-criteria:

• Quality of the planning and appropriate task distribution to implement the project,
• Relevance of the organisational arrangements, including financial management,
• Quality of the partnership.
Award criterion 4 - Overall and detailed budget

Sub-criteria:

- Relevance and appropriateness of the budget,
- Consistency of the estimated cost per applicant and the corresponding activities,
- Realistic estimation of person months per work package,
- The budget allocated for evaluation and dissemination is reasonable.
Content preparation
NEW - electronic submission system

Part A

• administrative "form": title, keywords, abstract, partners, overview budget (60/80%), checklist with criteria

Part B

• free-flow text, according to template (PDF)
• You need to write your own proposals
• Template gives the mandatory structure
Technical Part

Maximum 80 pages
50 pages for the technical description
30 pages for the budget tables

- **One table per partner**
- **Overview table with person months per deliverable**
3rd Health Programme
Call: HP-PJ-2014
Topic: PJ-01-2014
Type of action: PROJECT
Proposal number: SEP-202535059
Proposal acronym: abc

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<td>1</td>
<td>General information</td>
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<tr>
<td>2</td>
<td>Participants &amp; contacts</td>
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</tr>
<tr>
<td>3</td>
<td>Budget</td>
<td>Show</td>
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**Proposal Submission Forms**

<table>
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<tbody>
<tr>
<td>Acronym</td>
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**Declarations**

1. The coordinator declares to have explicit consent of all applicants on their participation and on the content of this proposal.*

2. The information contained in this proposal is correct and complete.

3. This proposal complies with ethical principles (including the highest standards of integrity — as set out, for instance, in the European Code of Conduct for Research Integrity — and including, in particular, avoiding fabrication, falsification, plagiarism or other misconduct).

4. The coordinator confirms that:
   - that he/she has carried out for the coordinator’s organisation as well as each participant for its own organisation a financial capacity self-check at: https://ec.europa.eu/research/participants/portal44/desktop/en/organisations/fy.html
   - the coordinator confirms to be aware and to have made aware its partners that a guarantee may be requested in accordance with the Financial Regulations of the EU (Art. 134 FR) ; or
   - is exempt from the financial capacity check being a public body.

5. The coordinator hereby declares that each applicant has confirmed:
   - that if it is receiving an Operating Grant from any EU programme, it will not claim indirect costs for this action for the specific year covered by the Operating Grant.

6. The coordinator hereby declares that each applicant has confirmed:
   - each applicant is fully compliant with the exclusion and eligibility criteria set out in the specific call for proposals; and
   - applicants have stable and sufficient sources of funding to maintain their activity throughout the period during which the activity is being carried out and to participate in its co-financing; and
   - applicants have the professional resources, competences and qualifications required to complete the proposed action.

*The coordinator is only responsible for the correctness of the information relating to his/her own organisation. Each applicant remains responsible for the correctness of the information related to him/her and declared above. If the proposal to be retained for EU funding, the coordinator and each beneficiary will be required to present a formal declaration in this respect.

Exceptional utility

Co-funding may be up to 80%

3 criteria:

• At least 60% of the total budget of the action is used to fund staff

• At least 30% of the budget of the proposed action is allocated to Member States whose gross national income (GNI) per inhabitant is less than 90% of the Union average.

• The proposal demonstrates excellence in furthering public health in Europe and has a very high EU added value.

It is your responsibility to ensure that the proposals complies with criteria 1 & 2
Annex

- If an applicant requests > € 750 000 EU co-funding, an audit report must be attached
Evaluation of proposals

1. Screening
   check completeness, allocation to evaluators

2. Financial & organisational analysis
   check compliance with the selection criteria

3. Evaluation of compliance with award criteria
   External Experts

4. Consensus meeting
   Chaired by a project officer.
   Outcome: consensus evaluation report

5. Evaluation committee
   Based on ranking:
   a) Ensure compliance with criteria
   b) Exclude potential duplication
   c) Decide on funding based on proposed co-funding and available budget

7. Adaptation

8. Award decision
   grant agreement
Electronic Grant preparation

• Grant preparation online (ping-pong principle between agency and beneficiaries)
• Electronic signature by LEAR (Legal entity authorised representative) – no paper copies of grant agreement
• Partners join the agreement after signature
• Monitoring and reporting online: Deliverables, Payment requests, Reports, etc.
New in Grant Management

• New model grant agreements (will be published with the call for proposals), which are aligned to H2020 procedures
• **Interim Payment** instead of 2\(^{nd}\) prefinancing
• Simplified cost structure (Staff, Other Costs, Subcontracting), **budget shifts without amendment**
• **All partners sign the grant agreement**
• Consortium agreements
Thank you

http://ec.europa.eu/chafea/